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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael J. BURK

Title:

SYSTEM, METHOD AND COMPUTER PROGRAM

PRODUCT FOR PRICE AUDITING

IN A SUPPLY CHAIN

MANAGEMENT FRAMEWORK

Appl. No.:

09/815,590

Filing Date:

03/23/2001

Examiner:

Unassigned

Art Unit:

2167

PROPRIETARY INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.56 AND MPEP §§ 724

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The following is a listing of co-pending U.S. patent applications, which relate(s) to the above-identified application.

SERIAL NO.	FILING DATE		SERIAL NO.	FILING DATE
09/815,463	March 23, 2001	14	09/815,777	March 23, 2001
09/815,489	March 23, 2001	1	09/815,792	March 23, 2001
09/815,490	March 23, 2001	- 1.	09/815,813	March 23, 2001
09/815,515	March 23, 2001		09/815,830	March 23, 2001
09/815,559	March 23, 2001	- /	09/815,845	March 23, 2001
09/815,580	March 23, 2001	- 1	09/815,864	March 23, 2001
09/815,598	March 23, 2001	- 1	09/815,893	March 23, 2001
09/815,606	March 23, 2001	-	09/815,894	March 23, 2001
09/815,660	March 23, 2001	1	09/815,897	March 23, 2001
09/815,668	March 23, 2001	ł	09/815,899	March 23, 2001
09/815,688	March 23, 2001	1	09/815,973	March 23, 2001
09/815,715	March 23, 2001	1	09/815,989	March 23, 2001
09/815,725	March 23, 2001	1	09/816,021	March 23, 2001
09/815,727	March 23, 2001	- 1	09/816,033	March 23, 2001
09/815,729	March 23, 2001	1	09/816,048	March 23, 2001
09/815,731	March 23, 2001	-	09/816,069	March 23, 2001
09/815,734	March 23, 2001	- 1	09/816,075	March 23, 2001
09/815,759	March 23, 2001	$\mathbf{V}$	09/816,083	March 23, 2001

	SERIAL NO.	FILING DATE		SERIAL NO.	FILING DATE
m	09/816,092	March 23, 2001	12	09/816,430	March 23, 2001
	09/816,101	March 23, 2001		09/816,431	March 23, 2001
	09/816,121	March 23, 2001	- 1	09/816,434	March 23, 2001
	09/816,151	March 23, 2001	- 1	09/816,454	March 23, 2001
	09/816,160	March 23, 2001	- 1	09/816,455	March 23, 2001
1	09/816,167	March 23, 2001		09/816,471	March 23, 2001
1	09/816,187	March 23, 2001	-	09/816,488	March 23, 2001
1	09/816,203	March 23, 2001	1	09/816,491	March 23, 2001
1	09/816,212	March 23, 2001	1	09/816,495	March 23, 2001
	09/816,231	March 23, 2001	1	09/816,503	March 23, 2001
1	09/816,249	March 23, 2001		09/816,507	March 23, 2001
	09/816,268	March 23, 2001		09/816,536	March 23, 2001
	09/816,269	March 23, 2001	1	09/816,537	March 23, 2001
\	09/816,285	March 23, 2001		09/816,543	March 23, 2001
1	09/816,296	March 23, 2001	1	09/816,553	March 23, 2001
	09/816,314	March 23, 2001	1	09/816,555	March 23, 2001
1	09/816,331	March 23, 2001		09/816,560	March 23, 2001
1	09/816,349	March 23, 2001		09/816,561	March 23, 2001
	09/816,357	March 23, 2001	<b>\</b>	09/816,564	March 23, 2001
1	09/816,358	March 23, 2001	1	09/816,565	March 23, 2001
1	09/816,388	March 23, 2001		09/816,567	March 22, 2001
1	09/816,412	March 23, 2001	1	09/816 <del>,</del> 576	March 23,-2001
	09/816,413	March 23, 2001	1	09/816,582	March 23, 2001
	09/816,414	March 23, 2001		09/816,881	March 23, 2001
	09/816,420	March 23, 2001		09/816,896	March 23, 2001
-	09/816,421	March 23, 2001	}	09/816,922	March 23, 2001
	09/816,422	March 23, 2001	}	09/816,944	March 23, 2001
	09/816,424	March 23, 2001	1	09/816,976	March 23, 2001
	09/816,426	March 23, 2001		09/834,465	April 13, 2001
1	09/816,427	March 23, 2001		09/834,600	April 13, 2001
1,	09/816,428	March 23, 2001		09/834,838	April 13, 2001
V	09/816,429	March 23, 2001	$\Psi$	09/834,924	April 13, 2001

Applicant considers the aforementioned documents and the disclosure of their existence as pending applications to constitute proprietary information. This Information Disclosure Statement is not intended as an admission either that the listed applications constitute prior art against the claims of the present application or that the listed applications are material to patentability as defined in 37 C.F.R. §1.56(b).

Applicant assumes that the examiner will have access to all of the references cited in the applications. However, if the examiner wishes applicants to submit copies of the references cited in the listed applications, the examiner is encouraged to inform applicants.

Atty. Dkt. No. 062834-0202

Accompanying this Proprietary Information Disclosure Statement is a transmittal letter indicating that the materials contained herein are proprietary, as required by MPEP § 724.02.

#### TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(B) prior to the mailing date of the first official action.

Applicant respectfully requests that the Examiner consider the foregoing information and provide in the next official communication the information set forth in MPEP § 724.04(a), particularly the information under item (3), so that the applicant can, if appropriate, subsequently file a petition to expunge those materials, as provided according to MPEP § 724.05.

Respectfully submitted,

Date

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GROUP 3600

Attorney for A Attorney for Applicant Registration No. 26,874

FOLEY & LARDNER

Customer Number: 22428

PATENT TRADEMARK OFFICE

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Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

pa

Pat of #7 PTO/SB/08 (08-00)

Apply co for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Date Submitted: October 24, 2002

(use as many sheets as necessary)

Sheet 1 of 1

	Complete if Known	OIPE
Application Number	09/815,590	70 %
Filing Dat	03/23/2001	7
First Nam d Inventor	Michael J. BURK	UCI 2 4 2002 W
Group Art Unit	2167	\s_ \frac{3}{2}
Examiner Name	Unassigned	The start
Attorney Docket Number	062834-0202	TRADEMA

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	0	U.S. Patent I	Document		Date of Publication of	Pages, Columns, Lines, Where Relevant
	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	(if Cited Document Cited Document MM-DD-YYYY Figures An	Cited Document	Passages or Relevant Figures Appear
n	A1	5,950,173		Perkowski	09/07/1999	
Pa	A2	6,084,528		Beach et al.	07/04/2000	
						RA
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					C	Crawle
						101, 8200
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				 FC	REIGN PATENT DOCUMEN	TS		
Examiner	Cite			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant		
Initials*		Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>			
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#### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature	RZe	Date Considered	8/20/03
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

port of # 4

Form 1449 (Modified)

**Information Disclosure Statement By Applicant**  Atty. Docket No.

RSI1P066

Applicant:

Michael James Burk, et al. Filing Date:

(Use Several Sheets if Necessary) 3/23/01 Application No.:

09/815,590

Group Art Unit:

2167 3627

### **U.S. Patent Documents**

Examiner nitial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
PZ	A	6,167,380	12/26/00	Kennedy et al.	705	10	02/18/97
	В	6,151,582	11/21/00	Huang et al.	705	8	02/24/97
	С	6,115,690	09/05/00	Wong	705	7	12/22/97
	D	5,983,198	11/09/99	Mowery et al.	705	22	04/23/96
	E	5,974,395	10/26/99	Bellini et al.	705	9	08/21/96
	F	5,963,919	10/05/99	Brinkley et al.	705	28	12/23/96
	G	5,953,707	09/14/99	Huang et al.	705	10	02/21/97
	Н	5,914,878	06/22/99	Yamamoto et al.	364	468.13	07/22/97
	I	5,884,300	03/16/99	Brockman	707	2	05/01/97
	J	5,774,868	06/30/98	Cragun et al.	705	10	12/23/94
	K	5,771,172	06/23/98	Yamamoto et al.	364	468.13	07/22/97
	L	5,765,143	06/09/98	Sheldon et al.	705	28	03/10/95
	M	5,687,322	11/11/97	Deaton et al.	395	214	06/01/95

# Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
Pr	N	00/51038	31.08.00	WO- PCT	G06F	17/40		
Re	0	99/67729	29.12.99	WO- PCT	G06F	17/60		
Examiner		RCZ		Date Considered	1/03			

## Form 1449 (Modified)

Atty. Docket No. RSI1P066

Application No.: 09/815,590

Information Disclosure Statement By Applicant Applicant: Michael James Burk, et al.

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(Use Several Sheets if Necessary)

Filing Date: 3/23/01

Group Art Unit: 2167 3627

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#### Other Documents

.8		Other Documents
Examiner		
Examiner Initial	No.	Other Documents  Author, Title, Date, Place (e.g. Journal) of Publication
	Р	www.zdnet.com/ecommerce/stories/main/0,10475,2601706,00.html -
737.		"Supply chain gets cold cuts"
	Q	http://www.foodlogistics.com/archives/jul00/jul00-coverstory.html - "Cover Story: Supply Chain Management Quarterly Efficient Foodservice Response Moving Towards Efficiency"
	R	http://www.localbusiness.com/Story/Print/0,1197,NOCITY 55953,00.html "MindFlow: Purchasing Savvy"
	S	http://freespace.virgin.net/heathcote.echain/white_paper_4.htm "e-Chain Solutions Limited, White Paper 4 - Efficient Foodservice Response (EFR)"
	T	http://www.startmag.com/v3n9/v3n9p060.asp "Start - November 1999, v3n9 The Virtual Supply Chain"
	U	http://www.p21.com/acclaim/supply-chain.html "Supply Chain Management"
	V	http://www.sap.com/press/fs_scm.htm "mySAP.com <sup>TM</sup> Supply Chain Management"
	W	http://www.foodlogistics.com/esupply/esupply/section3.html "An E-Powered Supply Chain"
	X	http://www.supplychainbrain.com/news/ "Supply Chain Brain Quick Read Newsletter February 21, 2001"
Examiner	P2.	Date Considered

**Examiner**: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

port of #9

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE.

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Substitute for form 1449B/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Date Submitted: May 30, 2003

(use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known	798
Application Number	09/815,590	10 31
Filing Date	03/23/2001	
First Named Inventor	Michael J. BURK	MAY 3 0 ROW E
Group Art Unit	2167 3627	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
Examiner Name	Unassigned	A NE
Attorney Docket Number	062834-0202	TATE TRADE
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Examiner Initials*	No.1	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Pages Columns Lines, Where Relevant Passages or Relevant Payres Appear
pe.	A1	4,713,761		Sharpe et al.	12-15-1987	900
1	A2	4,799,156		Shavit et al.	01-17-1989	
	A3	5,305,199		LoBiondo et al.	04-19-1994	
	A4	5,712,989		Johnson et al.	01-27-1998	
	A5	5,758,329		Wojcik et al.	05-26-1998	
	A6	5,884,300		Brockman	03-16-1999	
1	A7	5,893,076		Hafner et al.	04-06-1999	
	A8	5,970,469		Scroggie et al.	10-19-1999	
	A9	5,970,475		Barnes et al.	10-19-1999	
	A10	6,064,981		Barni et al.	05-16-2000	
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	A14	6,157,915		Bhaskaran	12-05-2000	
	A15	6,219,653	B1	O'Neill et al.	04-17-2001	
	A16 -	- 6,263,317	-B1-	Sharp-et-al.	07-17-2001	•
	A17	6,324,522	B2	Peterson et al.	11-27-2001	
	A18	6,341,271	B1	Salvo et al.	01-22-2002	
	A19	6,393,408	B1	Mosher et al.	05-21-2002	·
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				FOREIGN PATENT DOCUMEN	ITS		
Examiner Initials*	Cite No.1	Offic	Foreign Patent Document ce <sup>3</sup> Number <sup>4</sup> Kind Co	ode <sup>5</sup> Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
tes.	A22	JP	2002236730 A	SUGIOKA, MIKIO	08-23-2002		-
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	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>						
12	A23	Information on Deal Time International, 1998-2002							

	Examiner Signature	Res 5	Date Considered	9/19/03
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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*	Substitute for	form 1449B/	PTO		Complete if Isnown	10, 20
INFORMATION DISCLOSURE				Application Number	09/815,590	6
	STATEMENT	BY APPLI	CANT	Filing Date	03/23/2901	MAY 3 0 2003 병
Date Submitted: May 30, 2003			2003	First Named Inventor	Michael BURK	
Date Submitted, May 30, 2003				Group Art Unit	7629	AS .
(use as many sheets as necessary)			cessary)	Examiner Name	Unassigned 2	TA TRADE
Sheet	2	of	2	Attorney Docket Number	062834,4202	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropulae), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
pr	A24	Information on Circuit City Stores, Inc., 1996-2002	
Ra	A25	"Deal Time.com Spiders Web for Bargains", Mary Hillebrand, 30 June, 1999, E-Commerce Times	
			-

	Examiner Signature	RZ	Date Considered	9/27/03	
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